



SWIFT Certified Application

Programme Overview

This document provides an overview of the SWIFT Certified Application programme. It describes the benefits for SWIFT registered providers having a software application they wish to certify for compatibility with SWIFT standards, messaging services, and connectivity. This document also describes the application and validation processes that SWIFT uses to check such SWIFT compatibility. SWIFT's certification of an application is not an endorsement, warranty, or guarantee of any application, nor does it guarantee or assure any particular service level or outcome with regard to any certified application.

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Table of Contents

Preface	3
1 SWIFT Certified Programme	5
2 SWIFT Certified Application Programme	6
2.1 Introduction.....	6
2.2 SWIFT Certified Application Programme Benefits.....	6
2.3 SWIFT Certified Application Labels.....	7
3 SWIFT Certified Application Programme Conditions	9
3.1 Entry Conditions.....	9
3.2 Label Fees.....	10
3.3 Label Request and Certification Process.....	10
3.4 Liability Limitations.....	12
3.5 Administration and Changes.....	12
3.6 BIC Policy.....	12
4 Validation Process	13
4.1 Technical Validation.....	13
4.2 Functional Validation.....	16
4.3 Customer Validation.....	17
A Technical Validation for Supply Chain Finance	18
A.1 Introduction.....	18
A.2 Certification Process.....	18
Legal Notices	20

Preface

Purpose of the document

This document provides an overview of the SWIFT Certified Application programme. It describes the benefits for SWIFT registered providers having a software application they wish to certify for compatibility with SWIFT standards, messaging services, and connectivity. This document also describes the application and validation processes that SWIFT uses to check such SWIFT compatibility. SWIFT's certification of an application is not an endorsement, warranty, or guarantee of any application, nor does it guarantee or assure any particular service level or outcome with regard to any certified application.

Audience

This document is for the following audience:

- SWIFT registered providers (that is, participants in the SWIFT Partner programme, sometimes referred to as "partners") interested in having their application certified
- SWIFT users interested in an overview of the SWIFT Certified Application programme

This document provides information about how the programme can help SWIFT users select the appropriate partner application to support their business on either the corporate or the financial institution side (or both).

Significant changes

The following tables list all significant changes to the content of the [SWIFT Certified Application Programme Overview](#) since the following previous editions. These tables do not include editorial changes that SWIFT makes to improve the usability and the comprehension of the document.

New information since the January 2016 edition	Location
Information about the Customer Security Programme (CSP)	Entry Conditions on page 9

New information since the January 2015 edition	Location
Application Providers must comply with the BIC Policy	BIC Policy on page 12

Related documentation

- [SWIFT Certified Application Label Criteria](#)
A criteria document is available for each application and details the business and functional criteria pertaining to each particular application.
- [SWIFT Certified Application Technical Validation Guides](#)
The documents (one for most applications) explain in a detailed manner how your application is validated to become SWIFT Certified.
- [SWIFT Partner Programme Terms and Conditions](#)
- [SWIFT Pricing and Invoicing - Ordering, Invoicing, and Payment](#)

- [SWIFT Trademark Guidelines](#)
- [SWIFT Glossary](#)

1 SWIFT Certified Programme

Overview

The SWIFT Certified programme has been extended progressively to cover applications and services. The programme is reviewed and updated on a yearly basis.

Categories of the SWIFT Certified Programme

The SWIFT Certified Programme covers the following two categories:

- **SWIFT Certified Applications**

SWIFT certifies selected third-party applications offered by SWIFT registered providers to ensure that they meet well-defined requirements that are relevant to SWIFT standards, messaging, and connectivity. SWIFT provides certifications per specific market segment, including payments, trade, treasury, securities, corporates, and Alliance add-ons.

- **SWIFT Certified Specialists**

SWIFT certifies individual specialists in their specific area of technical or consultancy expertise. This assists customers in selecting people with the right knowledge and experience in the relevant SWIFT-related area.

2 SWIFT Certified Application Programme

2.1 Introduction

Purpose

The purpose of the SWIFT Certified Application programme is to ensure that the certified applications meet the following requirements:

- They must properly complement SWIFT messaging services and standards.
- They must integrate with SWIFT through the Alliance interface family of products.

Note *SWIFT certification does not guarantee particular service levels or outcomes. The registered provider offering a certified application is solely responsible for the performance and outcomes associated with a certified application. SWIFT is not responsible for the performance or quality of a certified application.*

2.2 SWIFT Certified Application Programme Benefits

Introduction

The SWIFT Certified Application programme extends throughout the entire financial application chain. It covers trade, treasury, payments, securities, and corporate applications, as well as Alliance add-ons.

Each SWIFT Certified Application label comes with a set of criteria that are reviewed every year to check that the application remains aligned with the evolution of the financial market and with SWIFT user needs, generally.

For more information about labels, see [SWIFT Certified Application Labels](#) on page 7.

2.2.1 Benefits for SWIFT Users

SWIFT partner applications

SWIFT provides messaging products and services that are often complemented by SWIFT partner products and services to provide total solutions. SWIFT users can benefit from the SWIFT Certified Application programme to select applications that are compatible with their SWIFT configurations and that are supported in the country where the SWIFT user resides.

Application criteria

The set of SWIFT Certified Application label criteria has evolved over time, to cover the SWIFT features that the financial community requests. A recent survey of SWIFT users showed that SWIFT application providers are crucial to the support of our customers' business. SWIFT Certified Application certification is considered to be a valuable part of the selection process for customers, with many of them using SWIFT Certified Application criteria to find guidance throughout the selection of third-party applications.

The SWIFT Certified Application criteria often provide a sound basis for building Requests for Proposals (RFP). SWIFT users are welcome to use them to request explicitly that the requested product or solution adheres to the SWIFT Certified Application criteria.

Application validation

SWIFT formally validates (or re-validates) the technical and functional features that are included in the SWIFT Certified Application criteria. The validation tests are detailed in [Technical Validation](#) on page 13. The validation performed by SWIFT can be useful in assisting in the due diligence efforts that SWIFT users typically perform before selecting a vendor product.

Note *The SWIFT Certified Application label does not cover operational aspects, such as performance, resilience, achievement of particular service levels, or volume testing. Also, SWIFT does not assess the financial condition or overall security/control environment of companies that apply, as the SWIFT Certified Application programme exclusively focuses on SWIFT-related features.*

2.2.2 Benefits for Registered Providers

Application certification

Registered providers can apply to the programme to check product compatibility with SWIFT-related features.

As part of the programme, SWIFT certifies provider applications.

Note *The certification programme tests SWIFT-related features of the application. It is not meant to replace the acceptance and other testing that the registered provider must pursue within its organisation before submitting the application to this programme or to customers.*

Registered providers can also take advantage of SWIFT Certified Application criteria to get some insight into future market directions in terms of user requirements. Customer procurement divisions often insist that vendor products and applications be qualified against the SWIFT Certified Application programme.

2.3 SWIFT Certified Application Labels

Introduction

The criteria are designed to reflect the capacity of an application to provide message processing automation in a SWIFT context, and to support Straight-Through Processing (STP) to increase customer value, limit customisation needs and cost, and reduce time-to-market.

The complete list of label categories and their criteria is published on www.swift.com.

SWIFT Certified applications promotion

SWIFT helps promote those applications that receive the SWIFT Certified Application certification. Dedicated SWIFT Certified Application labels are provided, as shown in the following example:

Example of a SWIFT Certified Application label



SWIFT Certified labels may be exhibited during customer events, such as SWIFT conferences and Sibos, which is the main yearly event for the financial community. The label is issued to the SWIFT application provider in electronic format. The application provider may use this label in its marketing brochures and publications. Labels must always be used in a manner consistent with the [SWIFT Trademark Guidelines](#).

The list of SWIFT Certified Applications is published on www.swift.com. The page is typically reviewed by SWIFT customers looking for SWIFT compatible products. Customers can perform several types of searches (label category, provider, or application name) on SWIFT's web site. Customers also have further access to different levels of detail, including product options, vendor profiles, product sheets, and label criteria.

SWIFT publishes on its website profiles for all SWIFT Certified Application providers. The profiles describe the certified applications and the regions of applicability. SWIFT and the SWIFT Certified Application providers may use these profiles in their communications with customers and others.

In the event that an application fails to maintain its SWIFT Certified status for any reason (including but not limited to the application owner/provider losing its status as a SWIFT registered provider), the application provider must immediately cease to use the labels and profiles described above, and SWIFT may immediately remove all such profiles and listings from its website and/or other media.

3 SWIFT Certified Application Programme Conditions

Submitting an application

Any registered provider is welcome to submit one or several business applications for certification. SWIFT only certifies software products that are commercially available and follow strict release cycles. SWIFT does not certify dedicated solutions that are developed for particular customers, nor solutions that need a high degree of customisation prior to implementation.

3.1 Entry Conditions

Requirements

To be entitled to submit an application for certification, a vendor must first join the SWIFT Partner Programme as a registered provider. Registration is on an annual basis, and entitles the registered provider to receive SWIFT-specific information to develop SWIFT-compatible applications.

The submitted software that is the subject of the application must operate to the satisfaction of SWIFT and its customers. The software must also meet a set of published criteria, which validates the software capability to provide Straight-Through Processing (STP) and automation in a SWIFT environment.

The label

The SWIFT Certified Application label criteria focus exclusively on SWIFT features. They do not certify the product's resilience, performance, overall quality, security, usability, scalability, supportability, or other generic commercial features.

Note *The Customer Security Programme (CSP) will reinforce and evolve the security of global banking, in the face of ever-increasing cyber threat, consolidating and building upon existing SWIFT and industry efforts. Adoption of the Mandatory and Advisory Security Controls by clients would require that the business applications satisfy the requirements and controls as well. For more information about the CSP, go to www.swift.com.*

The application label is associated with a specific release of a commercially available application and does not apply to earlier releases or versions. The label is awarded to an application (with a specific release number), not to the company that owns the application. The label also indicates the year for which the criteria were satisfied.

SWIFT Certified Application labels are granted for a period of one year, and must be renewed every year. The criteria may change from year to year to reflect market evolution and customer needs. In case of criteria changes, SWIFT may require re-validation.

The life cycle of usage for a label is typically from mid-year to mid-year. Application labels that are not renewed can no longer be used or marketed after mid-year, and must be removed from any marketing brochure, leaflet, or web site.

3.2 Label Fees

Terms of payment

SWIFT Certified Application labels are charged on a yearly basis. The following fees are valid for 2017.

Full fee

SWIFT Certified Application fee	EUR
New label	10,000
Yearly label renewal (per labelled product)	5,000

Reductions are granted on new label fees to registered providers that already have one or more labelled applications. The following table describes these reductions:

Reduced fee

SWIFT Certified Application reduced fee	EUR
New label fee for registered providers that already have one SWIFT Certified application	7,500
New label fee for registered providers that already have two or more SWIFT Certified applications	5,000

The fee covers the SWIFT Certified Application programme execution (with a maximum of two validation attempts) and the subsequent marketing. It provides the registered provider with access to limited SWIFT support resources, test exam samples, and the opportunity to apply for technical and functional certification.

Note *If the registered provider fails the validation tests twice consecutively, the registered provider may still make additional attempts for certification during the allotted window. Any of these additional attempts are charged EUR 2,000.*

SWIFT Certified Application label fees must be paid within 30 days of the date of receipt of the invoice. Other terms of the [SWIFT Pricing and Invoicing - Ordering, Invoicing, and Payment](#) document apply.

Failure to pay the fee before the due date will be deemed as a decision to renounce applying for the related SWIFT label. The fees will not be reimbursed, even if the SWIFT label is refused, withheld, withdrawn, or terminated for any reason whatsoever.

3.3 Label Request and Certification Process

Condition

Only a SWIFT-registered provider may request a SWIFT Certified Application label. In addition, the registered provider must have an operational infrastructure in place that is deemed satisfactory by

SWIFT for the application in question. The following terms of this section 3.3 as well as in section [Liability Limitations](#) on page 12 and section [Administration and Changes](#) on page 12 always apply to the SWIFT Certified Application Programme.

Process and other terms

Applicants must proceed as follows:

1. The registered provider completes the SWIFT Certified Application label request e-form and downloads the criteria documents that apply to the SWIFT Certified Application label under consideration on www.swift.com. SWIFT recommends that the registered provider applies as early as possible to ensure a time slot can be allocated for technical validation (for information about timing details, see [Technical Validation](#) on page 13).

By doing this, the registered provider acknowledges and agrees to pay the label fees as detailed in this document.

2. SWIFT analyses the completed label request form and determines whether the product satisfies the set of criteria. **SWIFT does not process incomplete application label requests.** SWIFT informs the registered provider of the primary validation result in the form of a gap analysis with the requested criteria.
3. The registered provider prepares the tests as set forth in the technical validation documents available on www.swift.com for each label. The registered provider then runs the tests according to the specifications at the dates agreed with the SWIFT certification team (for more information, see [Technical Validation](#) on page 13).

If the registered provider fails the validation tests twice consecutively, the registered provider may still make additional attempts for certification during the allocated certification window. Any additional attempts are chargeable at a rate of EUR 2,000 per extra validation attempt.

If the validation window has passed, then certifications are suspended and the registered provider is invited to submit a new request for the following year.

4. After successful technical validation, the registered provider performs the functional validation. The functional validation takes place preferably at SWIFT premises (HQ or main offices). Alternatively, it can take place through a webinar hosted and organised by the registered provider. It consists of a detailed demonstration of the application to SWIFT business experts, who then assess compatibility with the SWIFT environment. The content of the presentation is agreed beforehand with SWIFT.

Typically the presentation includes an introduction to the registered provider, the application, customers and strategy, and a scripted demonstration of the application used in a SWIFT context with simulated SWIFT connectivity.

If for any reason the functional validation cannot be performed at SWIFT premises nor through a webinar, then SWIFT sends business experts to the registered provider premises to perform the validation. In this case, SWIFT requires the registered provider to cover the associated travel and accommodation costs.

5. SWIFT also verifies customer satisfaction regarding the usage of the application. This is achieved through a customer survey, a conference call, or a visit to the customer premises. Customer satisfaction is checked systematically in case of new labels, and from time to time in case of label renewals, depending on the degree of customer base renewal and the label criteria updates.

6. After SWIFT concludes that the registered provider and its application have satisfactorily met all relevant criteria, SWIFT grants the SWIFT Certified Application label and updates www.swift.com and related locations to reflect the new status of the application.
7. SWIFT reserves the right to revoke SWIFT Certified status and associated listings and labels if it should in good faith determine at any time that the application, the associated registered provider or any affiliated person or product could prove harmful or have an adverse impact on SWIFT or any SWIFT user or SWIFT's or any SWIFT user's reputation, business or operations.

3.4 Liability Limitations

SWIFT shall not be liable to any registered provider or third party for consequential, special, incidental, or indirect damages (such as loss of profits, revenues, or missed business opportunities) related to any matter concerning the SWIFT Certified Application Programme, even if advised of the possibility thereof. In any claim or action against SWIFT based upon matters related to or contemplated by the SWIFT Certified Application Programme the exclusive remedy shall be limited to proven direct damages caused by SWIFT's fault in an amount not to exceed EUR 20,000 (twenty thousand Euro). To be valid, a complaining party must notify SWIFT of its intention to file an action or claim no later than 1 year after the damaging event. The limitations and exclusions set forth in the preceding paragraph shall not apply in the case of wilful misconduct or fraud. In the event of any inconsistency between the terms of this paragraph and the SWIFT Partner Programme Terms and Conditions the terms of this paragraph shall control.

3.5 Administration and Changes

All determinations of testing and validation and certification results are within SWIFT's sole discretion and not subject to review or challenge. SWIFT may utilise the services of third-party independent contractors to deliver any aspect of the Programme, including, but not limited to testing or validation of any nature. A registered provider must abide by the rules and procedures and results promulgated and established by SWIFT or such third parties and shall not hold SWIFT or such third parties liable for such actions. The provisions of this document are intended to provide a registered provider with a general idea of the certification process. SWIFT reserves the right to modify any aspect of the process without necessarily providing the candidate with advance notice of such modification.

3.6 BIC Policy

Any SWIFT Certified Application provider must qualify as a registered provider and comply with the [SWIFT Partner Programme Terms and Conditions](#). In addition, the Application Provider acknowledges that it must comply with, when being itself a SWIFT user, the BIC policy (as available on SWIFT.com). Furthermore, if it provides SWIFT connectivity (for example, through a service bureau operated by it) to its customers ("End Users"), it must ensure compliance by such End Users with the BIC policy. In that respect, SWIFT users are always identified on SWIFT through their own BIC8 and remain fully responsible for all messages sent or other operations performed by them through an Application Provider. The Application Provider understands in particular that branch codes belong to the institution identified by the BIC8 and cannot be used to identify a separate legal entity or a third party.

4 Validation Process

4.1 Technical Validation

4.1.1 Technical Validations

Overview

For most of the technical validations, SWIFT is working with an external provider (named hereafter the **outsourcing validation provider**).

Note *For Supply Chain Finance, the technical validation is executed by SWIFT's Developer and Business Application Centre of Expertise (CoE). For more information, see [Technical Validation for Supply Chain Finance](#) on page 18.*

Once the SWIFT Certified Application label request form is submitted, SWIFT evaluates the eligibility of the application. If eligible, then SWIFT informs the registered provider and the outsourcing validation provider who must initiate the technical certification test.

Technical validation activities

During the technical validation of the product, the outsourcing validation provider interacts with the registered provider.

This involves the following activities:

- scheduling and monitoring the windows for technical certification
- providing limited technical support during the testing window
- providing the test scenarios and test cases, wherever applicable
- receiving the test evidence from the registered provider
- analysing and evaluating the test evidence
- deriving the validation result reports, including scorecard and dashboard
- providing a report and recommendation to SWIFT

4.1.2 Technical Certification Window

Allocation of technical certification windows

The SWIFT Certified Application technical certification window is available from **01 March to 31 July**. Within this time frame, the applicant is allocated a specific time window to complete the technical validation process, as detailed in the following sections.

The outsourcing validation provider liaises with each registered provider to fix an appropriate time window during which the registered provider and the outsourcing validation provider must complete the activities related to the technical validation.

SWIFT recommends that the registered provider, after successfully testing its SWIFT infrastructure, sends a request to apply for the SWIFT Certified Application label as early as possible, to ensure a time slot can be allocated for technical validation. This allows time for iterations, and to prepare for a functional validation check after a successful technical validation.

It is the responsibility of the registered provider to ensure that the SWIFT connectivity to the integration testbed has been successfully tested before applying for the certification.

Note *A technical certification window cannot be guaranteed to registered providers that apply for technical validation after 01 May.*

Types of SWIFT Certified Application label requests

Label requests are categorised as **new** or **renewal**, as follows:

- **New**

Applies when the registered provider is applying for the SWIFT Certified Application label for a particular application the first time. A label-specific time window is allocated to each registered provider, within which it must complete the technical certification, and submit the test evidence.

- **Renewal**

Applies when the registered provider already holds a SWIFT Certified Application label for this application and is applying for renewal. A label-specific time window is allocated to each registered provider, within which it must complete the technical certification, and submit the test evidence. Unless stated otherwise, only changes to the previous year's label criteria are tested.

4.1.3 Handling Multiple Iterations

Introduction

After submission of the certification evidence analysis and the scorecard to SWIFT, SWIFT can decide to request a new iteration.

Process

- SWIFT informs both the outsourcing validation provider and the registered provider about its intention to request a new iteration.
- The outsourcing validation provider specifies a suitable time window to the registered provider and SWIFT to conduct this activity.
- On a case-by-case basis, SWIFT defines the scope of second and subsequent iterations.

Multiple iterations can lead to partial or complete re-validation, and to additional charges as of the third attempt to pass validation.

The outsourcing validation provider provides the registered provider with reasonable support throughout this process to help ensure that the activity is completed within the window agreed with the registered provider.

Note *SWIFT cannot guarantee the allocation of a new window for iterations requested after 01 July.*

Technical validation completion

Based on analysis of the re-testing results, SWIFT determines completion of the activity. SWIFT communicates technical validation completion by signing-off the technical validation document.

4.1.4 Technical Support for Labelling and Pre-Labelling

Description

During the technical certification window, the outsourcing validation provider gives technical support to the registered provider for the label validation process, as follows:

- explains the technical certification process if requested by the registered provider
- provides the applicant with a list of frequently asked questions
- provides samples and details of the test evidence that the registered provider must submit to complete the technical certification
- helps to ensure technical and operational support during the technical certification window for successful completion of the technical certification
- provides clarification about the analysis and validation report submitted to SWIFT

Availability of the outsourcing validation provider

The outsourcing validation provider provides the registered provider with support during India business hours from 9:00 to 18:00 India Standard Time (+5:30 GMT) during the technical validation window. Additional, specific support days outside this time zone will be communicated if applicable.

4.1.5 Obtaining and Evaluating Certification Evidence

Description

During the technical certification window, the registered provider performs the test cases and test scenarios. As per the technical validation, the registered provider forwards the technical certification results in the defined format to the outsourcing validation provider by e-mail to swiftcertified.application@wipro.com.

The outsourcing validation provider offshore team performs the following activities in co-ordination with the on-site team:

- verification of the test evidence such as screen shots, Alliance Access event journal, generated messages and files, and registered provider application log files

The purpose is to ensure that the tests have actually been run by the registered provider on the application under test.

- analysis and validation of the log files for the connectivity test against the defined criteria
- FIN message support testing against SWIFTStandards and Message Format Validation Rules, and MX message support testing against MX standards and schema definitions
- validation and analysis of the test messages generated by the application against the SWIFT Rule Book wherever applicable

The certification evidence is communicated to SWIFT by e-mail using a standard report template.

4.1.6 Scorecards and Dashboards

Description

The outsourcing validation provider designs an appropriate scorecard to capture the following items:

- the various certification evidence associated with the label technical validation process and within the scope of this engagement
- a quantitative and qualitative analysis of the certification evidence
- the recommendations for the technical certification based on the certification criteria

Closure

For each individual technical validation, the outsourcing validation provider sends to SWIFT the scorecard detailing the certification evidence, the analysis, and the recommendations after each iteration.

SWIFT reviews the scorecard and informs the outsourcing validation provider and the registered provider that the technical validation for that particular label has been successfully closed. The purpose of this exercise is to provide precise, concise, qualitative, and quantitative feedback to SWIFT on the basis of which SWIFT can then decide to certify the application.

4.2 Functional Validation

Functional validation takes place at SWIFT premises (HQ or main offices) or through a webinar organised by the registered provider. It involves a detailed demonstration of the application to SWIFT business experts, who assess compatibility with a SWIFT environment. Depending on the label, the functional validation lasts from 2 to 3 hours.

The content of the presentation must be agreed beforehand with the SWIFT business owner. Typically, the presentation includes an introduction to the registered provider, its products, customers, and strategy. It also includes a scripted demonstration of the application used in a SWIFT context with simulated SWIFT connectivity.

4.2.1 Introduction to the Product

Contents of the product presentation

The following information is presented to SWIFT business experts:

- a high-level introduction about the registered provider and its product line for the concerned solution domain (for example, securities, trade, integration)
- the application functional overview.

This overview includes functional highlights, required architecture and components, and workflow. The overview must also provide an indication of customer implementation effort to get started, information about availability and releases, and the SWIFT module.

- the product commercial overview.

This includes information about packaging (is the product off-the-shelf or does it require professional services?), price range, the targeted market and customer segment, sales and marketing strategy, and geographical coverage of sales and support activities. It also identifies the technical and business partners.

4.2.2 Product Demonstration

Requirements

The demonstration must be based on the commercially available product. The functionality presented must be available in the current product release.

Planned additional functionality must be clearly identified as such.

Purpose of the demonstration

The purpose of the demonstration is to give an overview of the product look and feel. Typically, it shows the processing of incoming and outgoing messages.

The demonstration is designed to show compliance of the evidence with the list of required functionality as specified in the label criteria document (this list is not restrictive):

- main product functionality
- MT/MX message standards validation (how outgoing and incoming SWIFT messages are validated, how network validation rules are implemented)
- compliance with market practices, if any (how, supported countries)
- compliance with the SWIFT Standards Rule Book
- information services integration (BIC Directory, Bank Directory Plus, BICPlusIBAN Directory, and other directory and reference data services)
- information and workflow processing, user interfaces

Questions and answers

After the demonstration, time is allowed for questions and answers.

4.3 Customer Validation

4.3.1 Customer Validation Check

Condition

In addition to the technical and functional validation tests, SWIFT contacts at least one customer for a customer validation check (the number of customer validations required depends on the label). If the customer provides positive feedback on the usage of the software application in a live environment and all other criteria have been satisfied, SWIFT closes the validation cycle and grants the SWIFT Certified Application label.

A Technical Validation for Supply Chain Finance

A.1 Introduction

Introduction

The technical certification for Supply Chain Finance is performed by SWIFT.

A registered provider wishing to pursue this type of certification must send an e-mail to SWIFTNet.CBT.QUALIFICATION@swift.com to plan the certification.

Registration of providers on www.swift.com

All registered providers contacting a regional support centre must be registered on www.swift.com.

For more information about the registration, see the [swift.com Registration and Administration User Guide](#).

SWIFT reserves the right to limit access to SWIFT Support should SWIFT, in its sole discretion, determine that SWIFT Support is being utilised in a manner that is excessive or inappropriate.

Process to start the label certification

1. The registered provider sends a label request form to SWIFT.
2. SWIFT evaluates the eligibility of the application.
3. If eligible, then SWIFT informs the registered provider and collects the required information for the label certification process (for example, contact names, configuration information).

For more information about the label request process, see [Label Request and Certification Process](#) on page 10.

A.2 Certification Process

A.2.1 Technical Certification Window

Introduction

The SWIFT Certified Application technical certification window is available from 01 March to 31 July. Within this time frame, the applicant is allocated a specific time window to complete the technical certification process.

Note *The window cannot be guaranteed to registered providers that apply for technical certification after 01 June.*

Time slot allocated to applicant

SWIFT agrees with the registered provider on an appropriate time window to complete the activities related to the technical certification.

SWIFT recommends that the registered provider, after the successful testing of its SWIFT infrastructure, sends a request to apply for the SWIFT Certified Application label as early as possible, to ensure a time slot can be allocated. This allows time for iterations, and to prepare for a functional certification check after a successful technical certification.

In the scope of Trade Service Utility, the certification timeslot is finalised once the registered provider has successfully exchanged a Trade Services Utility (TSU) test message provided by SWIFT.

A.2.2 Evaluation of Certification Evidence

Introduction

During the technical certification window, the registered provider performs the certification scenarios. The registered provider forwards the technical certification results in the defined format to SWIFT. The e-mail address to use is as follows: SWIFTNet.CBT.QUALIFICATION@swift.com

Verifications

SWIFT verifies certification evidence such as screen shots, Alliance Access event journal, generated messages and files, and vendor application log files. The purpose is to ensure that the scenarios have actually been run by the registered provider on the application under certification.

A.2.3 Handling Multiple Iterations

Introduction

After submission of the certification results analysis, SWIFT can decide to request one or more additional iterations.

Process

1. SWIFT informs the registered provider about its intention to request a new iteration.
2. SWIFT specifies a suitable time window to the registered provider to conduct this activity.
3. On a case-by-case basis, SWIFT defines the scope of the second and subsequent iterations.

Multiple iterations can lead to partial or complete re-certification, and to additional charges as of the third attempt to pass validation.

Note *SWIFT cannot guarantee the allocation of a new window for iterations requested after 01 July.*

A.2.4 Technical Validation Completion

Based on the analysis of the re-certification results, SWIFT determines completion of the activity. SWIFT confirms the technical validation completion by signing off the certification analysis document.

For more information about functional validation and customer validation, see [Functional Validation](#) on page 16 and [Customer Validation](#) on page 17.

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