



Watch for Securities

Better intelligence, leads to better business

Securities market players increasingly rely on a multitude of information sources to build their business strategy. The integration and processing of critical data within an organisation is crucial to monitor performance, assess risk and cost and facilitate strategic decision making.

Key Benefits

Gain insights on your business to identify opportunities for growth

Monitor global securities traffic on SWIFT

Review your readiness level to anticipate key regulatory changes

Focus on data analysis rather than collection

Benchmark your services to ultimately improve quality and reduce costs and risks

What is Watch for Securities?

The Watch for Securities offering is the next evolution of the overall SWIFT Business Intelligence portfolio.

If you are a SWIFT customer with operations in the securities markets, such as a local or a global custodian, an investment bank or an asset manager, then Watch for Securities will be a valuable tool to enhance your operational and strategic objectives and seize new growth opportunities. With only a partial view of one's own flows and an extrapolated outline of the overall market flows, institutions are not always able to extract meaningful business insights from the data they manage and lack the necessary information to identify market trends or exceptions.

Initially focusing on cross-border securities flows, the new Watch for Securities solution provides a full panorama on activities of all entities belonging to your institution, offering unique possibilities for peer and market benchmarking. Such value-added data, obtained from a neutral and independent source, will enable you to make sharper and better-informed strategic business decisions.

What does Watch for Securities offer?

Today, Watch for Securities monitors key settlement and asset servicing flows extracted from SWIFT FIN message traffic. You will be able to display monthly aggregated totals of settlement and asset servicing messages per Message Type (MT), asset class or event category, BIC, settlement country, counterparty

or other key elements for a given period and compare these attributes to corresponding SWIFT traffic totals. This will provide you instant and combined sets of measurements across specific sub-market segments and regulated activities.

Monitoring global securities traffic

The explosion of available data makes the quest for accurate and relevant business information more complex every day. Accessing the right information at the right time and for the right audience has never been so important.

Watch for Securities provides SWIFT securities traffic data from your institution on a monthly basis and on a single platform without any software installation (i.e. from all your subsidiaries and counterparties around the world). This will enable you to focus your time and efforts on decision-making through data analysis rather than on collecting and collating the data from multiple systems and locations.

Assessing current market penetration or market potential for further business development

A great proportion of cross-border securities messages between financial institutions are sent over the SWIFT network. By choosing Watch for Securities, you will capture clear estimates of your traffic share in a number of different countries with a view of, among other things, the cash counter value of settlement instructions and their effective confirmations.

Thanks to a set of comprehensive pre-defined visuals and filter mechanisms, Watch for Securities will allow your

network management, operational and sales team members to get fast and direct access to critical business data about your customers and providers. With this, you will be better equipped to understand the market you operate in and accurately assess how you perform against your competitors.

Controlling performance indicators, regulated activities and areas of inefficiencies

In a rapidly changing regulatory landscape the securities industry becomes more competitive and relentless in its change of pace. This compels its market participants to focus on de-risking and reducing costs, while still providing value to the final investor. Today, much time and effort is spent in resolving operational inefficiencies in the absence of independent measurements of progress.

Watch for Securities aims to fill this gap with a neutral and reliable tool enabling you to monitor your correspondent network, control risk exposure and improve the operational efficiency and the quality of your SWIFT securities messaging activity with your customers and providers.

The tool enables you to analyse client/provider operational processes such as:

- ratios of new versus cancelled settlement instructions
- settlement transactions that occur on or after the intended settlement date
- processing timeliness between key corporate actions dates

What does the Watch for Securities product offering look like?

The initial offering focuses on settlement and reconciliation (MT540-MT548), asset servicing (MT564-MT568) and reporting (MT536). Two products are now available: Securities Analytics, an interactive query dashboard combined with predefined visuals narrowed down to your institutional or group level; and Securities Insights, offering a dynamic library of predefined visual analysis at entity/BIC8 level.

Securities Analytics

With this product, the information can be analysed through two dynamic tools: an interactive playground of data sets allowing you to launch customised queries and a comprehensive dashboard of visuals presenting key business flows through different angles.

The screenshot shows the 'Securities Analytics' configuration interface. It is divided into two main sections:

- Step 1: Please select the attributes visible in your report (Required)**
 - Instruction: Choose attributes from the list. This prompt cannot accept more than 6 selections.
 - Available attributes: Quarter, Period, Month, Business area, Category, Geo region, Country, BIC8, BIC8 Sales region, BIC8 Geo region, BIC8 Country.
 - Selected attributes: Year, Market, Message type.
- Step 2: Please select the metrics for your report (Required)**
 - Instruction: Choose between standard metrics, totals and calculations (Year-To-Date, Rolling 12 months, etc.). This prompt cannot accept more than 6 selections.
 - Available metrics: Traffic received, Total SWIFT Traffic sent, Total SWIFT Traffic received.
 - Selected metrics: Traffic sent.

Securities Insights

Presented as a simplified version of the Securities Analytics, this product allows you to directly access a set of pre-defined insights through a library of views both for securities settlement and reconciliation and for asset servicing.

The screenshot shows the 'Securities Insights' interface. On the left, there are filter panels for:

- Filters**
- Category (7)**: (All), 1 - Customer Payments and Chv, 2 - Financial Institutions Transfr, 3 - Foreign Exchange, Money M, 5 - Securities Markets, 6 - Commodities, Syndication, z, 7 - Documentary Credits and G
- Customer route (2)**: (All), Intra-customer-group, Inter-customer-group
- Geo route (2)**: (All), Domestic, International
- Message type (48)**: (All)

At the bottom left, it shows 'Traffic sent' with a value of '≤ 4,145'. On the right, a world map titled 'Traffic sent' displays 'Your branches - contribution in volume sent (Top 20% in green, Bottom 20% in red)'. The map shows North America and parts of Europe in red (bottom 20%), while other regions are in green (top 20%) or orange.

For further information about Watch for Securities and the full SWIFT Business Intelligence portfolio, please visit swift.com or email watch@swift.com