

# Interface Certification – Security Conformance Requirements

SWIFT Alliance Gateway – File Transfer Adapter (FTA)

Conformance Statement

This document confirms that the messaging interface complies with the security requirements, by self-attestation (interim certified). These requirements are extracted from the Customer Security Controls Framework Version 1.

Revision: April 2017

# 1 General Information

## 1.1 Supplier

Full name of the organisation that has registered this interface product and the name of the author of this Conformance Statement.

|              |                               |
|--------------|-------------------------------|
| Organisation | SWIFT S.C.R.L.                |
| Author       | Chetan Uka                    |
| Date         | October 16 <sup>th</sup> 2017 |

## 1.2 Product Information

The name and version numbers of the interface product to which this certification and conformance claim applies.

|                        |                                                |   |
|------------------------|------------------------------------------------|---|
| Product Name *         | Alliance Gateway – File Transfer Adapter (FTA) |   |
| Product Version Number | 7.2                                            |   |
| Product Functionality  | FIN                                            | N |
|                        | RMA                                            | N |
|                        | FileAct Store-and-Forward                      | Y |
|                        | FileAct Real-time                              | Y |
|                        | InterAct Store-and-Forward                     | N |
|                        | InterAct Real-time                             | N |
|                        | Communication Interface                        | N |

Note \*: If your messaging interface has different names for the different protocols it supports, then please provide the names accordingly.

## 1.3 Operational Environment

The hardware platform(s) and/or software platforms for which this product's performance is guaranteed.

|                                                  |                                                                                                      |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Hardware Platform on which product is guaranteed | Refer to the 'System Configuration Recommendations and Guidelines' document. Available on UHB online |
| Software Platform on which product is guaranteed | Refer to the OS Levels and Patches Baseline document. Available on UHB online                        |

## 2 Conformance Requirements

This document confirms that the messaging interface complies with the security requirements, by self-attestation (interim certified). These requirements are extracted from the Customer Security Controls Framework Version 1.

To view the full list of requirements for messaging interfaces, please refer to the [Preview of Security requirements for messaging interfaces](#) document published in the Certified Interfaces document centre / Security Conformance / Interim certified.

To obtain details on the implementation of the security requirements, the customer is requested to contact the messaging interface vendor directly.

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