

## Compliance Analytics Reporting Services

*Sharpen oversight of your financial crime-related risk to act with speed and precision*



*Compliance Analytics tailored to your specific needs*

### Benefits

- Global view of your institution's cross-border payments traffic
- Trends analysis and alerts in case of hidden relationships
- Your institution's traffic with high risk countries
- Overview of your RMA status
- Expertise without high investments

Financial crime compliance is top of mind, from the boardroom to the business pages. In response to increased regulatory scrutiny, financial institutions have committed major resources to identifying and investigating financial crime-related risks and complying with constantly evolving laws and regulations.

Data analysis is at the heart of financial crime compliance, but having access to the right data when you need it can be very challenging. The situation is further complicated when multiple IT systems, business units and data formats are involved.

SWIFT's Compliance Analytics tool helps financial institutions monitor and address financial crime risk by leveraging their SWIFT message traffic data. It enables them to identify areas of potential risk and act with speed and precision to mitigate the risk.

### A new reporting service based on Compliance Analytics

Compliance Analytics is used by many of the world's largest banks to spot risks and focus their investigations.

Now, SWIFT can deliver these powerful analytics as a tailored reporting service for institutions where the full Compliance Analytics suite isn't the right match.

Each quarterly report is packed with unique insights and analysis. Based on your institution's aggregated SWIFT message traffic data, it provides a global, consolidated view of your cross-border payments traffic that is available nowhere else.

Clear visuals and easy-to-understand explanations help you quickly pinpoint potential risks, spot anomalies, focus your investigations, and monitor changes over time.

The report is provided as a set of slides, making it easy to share and discuss with others in your organisation.

You can also respond effectively to requests for compliance-related information, using accurate data from a neutral party: SWIFT.

### Community-inspired compliance solutions

As a member-owned cooperative, our mission is to deliver sustainable financial crime compliance solutions that mutualise cost and ease operational burdens for our community. We developed Compliance Analytics in collaboration with our customers and are continuing to expand it based on their evolving needs.

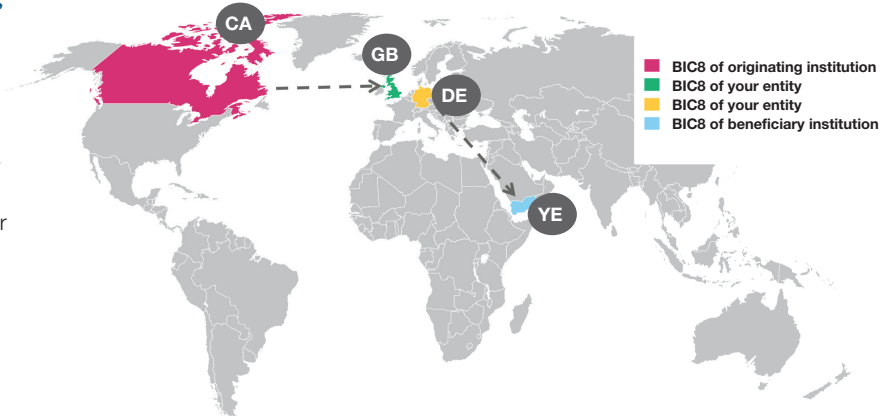
## Detailed, easy-to-use reporting

Each report is divided into four deep-dive sections:

- **Global insights**, including an overview of your payments flows by counterparty location and by currency.
- **High-risk jurisdictions**, identifying any transactions linked to individuals or entities in countries or territories with sanctions or that are considered high-risk or uncooperative by FATF.
- **Counterparty assessment**, listing which counterparties you have exchanged the least and most payments with during the previous year, and identifying which counterparties have involved you in transactions with sanctioned or high-risk jurisdictions.
- **RMA analysis**, including the current status of your RMA relationships, both inbound and outbound.

In addition, we can provide a yearly reporting summary and/or ad-hoc reporting upon request.

For more information, visit [www.swift.com/complianceanalytics](http://www.swift.com/complianceanalytics) or contact your SWIFT account manager.



▲ Monitor hidden payment flows involving your counterparties

Originating Country	Counterparty (BIC8) Country	My Entities (BIC8) Country	Beneficiary Country																																								
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▲ See which counterparties are involving you in payments originating in high-risk or sanctioned countries